

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Inventors: Yves AUDEBERT, et al.

Application No.: New Patent Application

Filed: November 30, 2001

For: FINANCIAL RISK MANAGEMENT SYSTEM AND METHOD

INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner of  
Patents and Trademarks  
Washington, DC 20231

Sir:

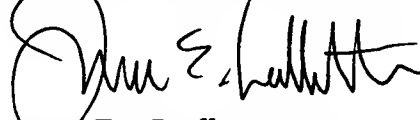
Pursuant to Rules 56 and 99, Applicants hereby call the attention of the Patent Office to the reference(s) listed on the attached Form PTO 1449.

Applicants present this art so that the Patent Office may, in the first instance, determine any relevancy thereof to the presently claimed invention, see Beckman Instruments, Inc. v. Chemtronics, Inc., 439 F.2d 1369, 1380, 165 USPQ 355, 364 (5th Cir. 1970). Also see Patent Office Rules 104 and 106.



Applicants respectfully request that this art be expressly considered during the prosecution of this application and made of record herein and appear among the "References Cited" on any patent to issue herefrom.

Respectfully submitted,



Date: November 30, 2001

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ATTORNEY DOCKET NO. L741.01110

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(Use several sheets if necessary)

SERIAL NO. to  
New Patent Applications

**FILING DATE**  
**N vember 30, 2001**

GROUP **Unassigned**

U.S. v. J. Edgar Hoover  
09/996576



11/30/01

[illegible][illegible]


**DATE CONSIDERED**

**EXAMINER:** initial if citation is considered, draw line through citation if not in compliance and not considered. include copy of this form with next communication to applicant.